A Newton method for simultaneous reconstruction of an interface and a buried obstacle from far-field data

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Abstract

This paper is concerned with the inverse problem of scattering of time-harmonic acoustic waves from a penetrable and buried obstacles. By introducing a related transmission scattering problem, a Newton iteration method is proposed to simultaneously reconstruct both the penetrable interface and the buried obstacle inside from far-field data. A main feature of our method is that we do not need to know the type of boundary conditions on the buried obstacle. In particular, the boundary condition on the buried obstacle can also be determined simultaneously by the method. Finally, numerical examples using multi-frequency data are carried out to illustrate the effectiveness of our method.

1 Introduction

In this paper, we consider the problem of scattering of time-harmonic acoustic waves from a penetrable obstacle with a buried impenetrable obstacle inside. Such problems occur in many applications such as radar, remote sensing, geophysics and nondestructive testing.

Let $S_0 \in C^2$ denote a simple closed smooth curve in \mathbb{R}^2 . Then \mathbb{R}^2 is divided into the unbounded part Ω_0 and the bounded part Ω by S_0 . We assume that Ω_0 is filled with a homogeneous medium with the constant refractive index 1 and Ω is filled with another homogeneous medium with the constant refractive index $n_1 > 0$. Assume further that $\Omega_2 \subset\subset \Omega$ is an impenetrable obstacle with a C^2 boundary S_1 and let $\Omega_1 = \Omega \setminus \overline{\Omega}_2$.

Suppose an incident plane wave $u^i(x,d) = e^{ik_0x \cdot d}$, where $k_0 = \omega/c > 0$ is the wave number with ω and c being the wave frequency and speed in Ω_0 and $d \in S^1$ is the incident direction, is incident on the penetrable obstacle Ω from the unbounded domain Ω_0 . Then the total field $u = u^i + u^s$, which is the sum of the incident wave u^i and the scattered wave u^s in Ω_0 , satisfies the following Helmholtz equations together with the transmission condition on the interface S_0 and the boundary condition on the boundary

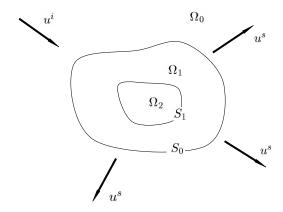


Figure 1: Scattering by a penetrable and a buried obstacles

 S_1 :

$$\Delta u + k_0^2 u = 0 \qquad in \ \Omega_0 \tag{1.1}$$

$$\Delta u + k_1^2 u = 0 \qquad in \ \Omega_1 \tag{1.2}$$

$$u_{+} = u_{-}, \quad \frac{\partial u_{+}}{\partial v} = \lambda_{0} \frac{\partial u_{-}}{\partial v} \quad on \ S_{0}$$
 (1.3)

$$\mathcal{B}(u) = 0 \qquad on \ S_1 \tag{1.4}$$

$$\Delta u + k_0^2 u = 0 \qquad in \ \Omega_0$$

$$\Delta u + k_1^2 u = 0 \qquad in \ \Omega_1$$

$$u_+ = u_-, \quad \frac{\partial u_+}{\partial v} = \lambda_0 \frac{\partial u_-}{\partial v} \qquad on \ S_0$$

$$\mathcal{B}(u) = 0 \qquad on \ S_1$$

$$\lim_{r \to \infty} \sqrt{r} \left(\frac{\partial u^s}{\partial r} - ik_0 u^s \right) = 0, \qquad r = |x|$$

$$(1.5)$$

where $k_1 > 0$ is the wave number in Ω_1 given by $k_1^2 = k_0^2 n_1$, λ_0 is a positive constant depending on the property of the medium in Ω and Ω_0 , ν is the exterior normal vector on S_0 and +/- denote the limit from the exterior and interior of the boundary, respectively. The boundary condition $\mathcal{B}(u) = 0$ represents the Dirichlet or Neumann boundary condition depending on the physical property of the obstacle Ω_2 . It is well-known that the Sommerfield radiation condition (1.5) implies the following asymptotic behavior of the scattered field u^s (see [5]):

$$u^{s}(x,d,k_0) = \frac{e^{ik_0r}}{\sqrt{r}} \left(u_{\infty}(\hat{x},d,k_0) + O(\frac{1}{r}) \right), \qquad r = |x| \to \infty,$$

where $\hat{x} = x/r$ is the observation direction and u_{∞} is called the far field pattern of the scattered field u^s .

By using a variational or integral equation method it has been proved in [22, 23] that the scattering problem (1.1)-(1.5) has a unique solution. It was further proved in [22] that S_0 , S_1 and the boundary condition on S_1 can be uniquely determined by the far-field pattern $u_{\infty}(\hat{x}, d, k_0)$ for all $\hat{x}, d \in S^1$ and a fixed k_0 if $\lambda_0 \neq 1$ is known. In this paper, we are interested in the numerical reconstruction of the interface S_0 and the buried impenetrable obstacle S_1 together with the boundary condition on S_1 from the far-field pattern $u_{\infty}(\hat{x}, d, k_0)$, given $\lambda_0 > 0$ and the refractive index $n_1 > 0$.

Many reconstruction algorithms have been developed for the numerical reconstruction of the interface S_0 in the case when the buried obstacle $\Omega_0 = \emptyset$ (see, e.g., the iteration methods in [1, 11] and the singular sources method in [26], the linear sampling method in [25] and the factorization method in [14, 15] for the case when $\lambda_0 = 1$). In the case when the interface S_0 is known and $\lambda_0 = 1$, a reciprocity gap functional method was introduced in [3, 4, 6, 7] to reconstruct the buried obstacle Ω_0 from near field Cauchy data, whilst in [29] an iteration method was proposed to recover the buried sound-soft obstacle from the far field data. Recently, for the case when $\Omega_0 \neq \emptyset$, Cakoni et al. [2] introduced a multistep reciprocity gap functional method for reconstructing the interface S_0 and the buried obstacle Ω_0 recursively from near field Cauchy data under the condition that the boundary condition on S_1 or Ω_0 is known in advance, whilst in [30] the factorization method was extended to reconstruct the interface S_0 from the far field data, without knowing the buried obstacle Ω_0 .

In this paper we develop a Newton-type iterative method to simultaneously reconstruct the interface S_0 and the impenetrable obstacle S_1 together with the boundary condition on S_1 from the far-field pattern $u_\infty(\cdot,d,k_0)$ for a finite number of incident directions $d=d_j, j=1,...,P$ and a finite number of frequencies or wavenumbers $k_0=k_{0l},\ l=1,...,Q$, given $\lambda_0>0$ and the refractive index $n_1>0$. A main feature of our method is that the boundary condition on the buried obstacle S_1 does not need to know in advance; in fact, the boundary condition on S_1 can be determined by the iterative method. This is different from the previous iteration methods which require to know the boundary condition on the obstacle to be reconstructed as a priori information. To simultaneously reconstruct S_0, S_1 and the boundary condition on S_1 , we first introduce a related transmission scattering problem by replacing the impenetrable obstacle Ω_0 with a penetrable one with an arbitrarily chosen wavenumber k_2 and having a transmission condition on S_1 with the unknown transmission constant λ_1 . We then use a Newton method to simultaneously reconstruct S_0, S_1 and S_1 . The boundary condition on S_1 can thus be determined in terms of the value of the reconstructed S_1 . See Section 2 for detailed discussion.

Over the last 20 years, many work has been done related to Newton-type methods. For example, [16] gives the first rigorous characterization of Frechet derivatives of the far-field operator corresponding to an impenetrable, sound-soft obstacle embedded in a homogeneous medium by employing a variational method, and [27] obtained the same result via the integral equation method. For the other cases such as impedance and transmission problems, we refer to [10, 11]. It should be pointed out that the convergence of Newton-type methods for inverse scattering is far from complete. For partial results, the reader is referred to [11, 12, 13, 28]. In addition, much effort has been made to reduce the computation cost of the Newton-type methods, such as the hybrid method [19, 20] and the methods of nonlinear integral equations [1, 18].

This paper is organized as follows. In Section 2, a related transmission scattering problem is presented. In Section 3 the integral equation method is proposed for solving the related transmission problem, and some quadrature rules are discussed briefly. The Newton method is presented in Section 4, and numerical examples using multi-frequency data are given in Section 5 to illustrate the effectiveness of our method. In the appendix, we give characterizations of the Frechet derivatives of the far field operators for the related transmission scattering problem in Section 2, employing the variational method.

2 A related transmission scattering problem

As discussed in the previous section, to simultaneously reconstruct S_0 , S_1 and the boundary condition on S_1 , we consider the following transmission scattering problem which is related to our original scattering problem: find the total wave $u := u^i + u^s$ (which is the sum of the incident field u^i and the scattered field

 u^s in Ω_0) such that

$$\Delta u + k_0^2 u = 0 \quad \text{in } \Omega_0 \tag{2.6}$$

$$\Delta u + k_1^2 u = 0 \quad \text{in } \Omega_1 \tag{2.7}$$

$$\Delta u + k_2^2 u = 0 \quad \text{in } \Omega_2 \tag{2.8}$$

$$u_{+} = u_{-}, \quad \frac{\partial u_{+}}{\partial v} = \lambda_{0} \frac{\partial u_{-}}{\partial v} \quad \text{on } S_{0}$$
 (2.9)

$$u_{+} = u_{-}, \quad \frac{\partial u_{+}}{\partial v} = \lambda_{1} \frac{\partial u_{-}}{\partial v} \quad \text{on } S_{1}$$
 (2.10)

where u^i , k_0 , k_1 , λ_0 are as defined in Section 1, k_2 is an arbitrarily chosen positive constant, λ_1 is a constant to be determined, and u^s satisfies the Sommerfeld radiation condition (1.5). This problem is obtained from the scattering problem (1.1)-(1.5) by regarding the impenetrable obstacle Ω_0 as a penetrable one having an artificial wavenumber k_2 and a transmission condition on its boundary S_1 with the unknown transmission constant λ_1 .

We observe that the Dirichlet and Neumann boundary conditions can be considered as the limiting cases $\lambda_1 \to \infty$ and $\lambda_1 \to 0$, respectively, of the transmission condition (2.10). Based on this observation, we will develop a Newton method to simultaneously reconstruct S_0, S_1 and λ_1 and then use the value of the reconstructed λ_1 to determine the type of boundary conditions on the boundary S_1 of the impenetrable obstacle Ω_0 . Precisely, if the value of the reconstructed λ_1 is very close to zero, then the boundary condition on S_1 will be regarded as a Neumann condition. If the value of the reconstructed λ_1 is far away from zero, we define $\tau_1 := 1/\lambda_1$, $U := \lambda_1 u|_{\Omega_2}$ and replace (2.8) and (2.10), respectively, by

$$\Delta U + k_2^2 U = 0 \quad \text{in } \Omega_2 \tag{2.11}$$

$$u_{+} = \tau_{1}U_{-}, \quad \frac{\partial u_{+}}{\partial v} = \frac{\partial U_{-}}{\partial v} \quad \text{on } S_{1}.$$
 (2.12)

We then use the Newton method to simultaneously reconstruct S_0 , S_1 and τ_1 . If the value of the reconstructed τ_1 is very close to zero, then the boundary condition on S_1 will be regarded as a Dirichlet condition.

Remark 2.1. During the preparation of this paper we were notified by the authors of [21] that they have recently also studied the asymptotic behavior of the solution to the scattering problem by a lossy inhomogeneous medium buried in a layered homogeneous medium as the medium mass density is very large in the context of cloaking or invisibility; precisely, they proved mathematically that the solution of the transmission problem (2.6)-(2.10) and (1.5) converges to the solution of the scattering problem (1.1)-(1.5) with a Neumann boundary condition $\mathcal{B}(u) := \partial u/\partial v = 0$ on S_1 as $\lambda_1 \to 0+$ in the case when $\text{Im}(k_2^2) > 0$.

Based on the above observation, we assume that k_0 , k_1 , k_2 , λ_0 are known and introduce the far field operator F which maps a set of admissible boundaries S_0 and S_1 and the constant λ_1 into the far field pattern of the scattered solution to the corresponding transmission scattering problem (2.6)-(2.10) and (1.5). We also introduce the far field operator \tilde{F} which maps a set of admissible boundaries S_0 and S_1 and the constant τ_1 into the far field pattern of the solution to the transmission scattering problem (2.6)-(2.7), (2.9), (2.11)-(2.12) and (1.5). We will solve the equation

$$F(S_0, S_1, \lambda_1) = u_{\infty} \tag{2.13}$$

for S_0, S_1, λ_1 ; as discussed above, for the case when λ_1 is very large, we solve the equation

$$\tilde{F}(S_0, S_1, \tau_1) = u_{\infty} \tag{2.14}$$

for S_0 , S_1 , τ_1 . Here, the value of λ_1 or τ_1 reveal the type of boundary conditions on S_1 and u_∞ is the far field pattern of the original scattering problem (1.1)-(1.5).

Following the idea of [16, 10], we can prove that if S_0 and S_1 are C^2 boundary then F (or \tilde{F}) is Frechet differentiable with respect to S_0 , S_1 and λ_1 (or τ_1) for the case when $\lambda_1 \in (0, \infty)$ (or $\tau \in (0, \infty)$) (see Appendix for details). Motivated by this we propose a Newton iteration method to recover the boundaries S_0 , S_1 and the boundary condition on S_1 in Section 4.

3 The integral equation method for the direct problem

For the Newton method, we need to compute the Frechet derivative of F or \tilde{F} which is characterized by the solution of the problem (2.6)-(2.10) and (1.5) with certain inhomogeneous boundary data (see Appendix). For convenience we consider the following more general transmission problem: find $u^s \in C^2(\Omega_0) \cap C^{1,\sigma}(\overline{\Omega}_0)$ and $u \in C^2(\Omega_1) \cap C^{1,\sigma}(\overline{\Omega}_1) \cap C^2(\Omega_2) \cap C^{1,\sigma}(\overline{\Omega}_2)$ with $0 < \sigma < 1$ such that

$$\Delta u^s + k_0^2 u^s = 0 \quad \text{in } \Omega_0 \tag{3.1}$$

$$\Delta u + k_1^2 u = 0 \quad \text{in } \Omega_1 \tag{3.2}$$

$$\Delta u + k_2^2 u = 0 \quad \text{in } \Omega_2 \tag{3.3}$$

$$u_+^s - u_- = f_1, \quad \frac{\partial u_+^s}{\partial v} - \lambda_0 \frac{\partial u_-}{\partial v} = f_2 \quad \text{on } S_0$$
 (3.4)

$$u_+ - u_- = f_3$$
, $\frac{\partial u_+}{\partial v} - \lambda_1 \frac{\partial u_-}{\partial v} = f_4$ on S_1 (3.5)

and u^s satisfies the radiation condition (1.5), where $(f_1, f_2, f_3, f_4) \in X := C^{1,\sigma}(S_0) \times C^{0,\sigma}(S_0) \times C^{1,\sigma}(S_1) \times C^{0,\sigma}(S_1)$.

Remark 3.1. For our scattering problem (2.6)-(2.10) and (1.5), $(f_1, f_2, f_3, f_4) = (-u^i, -\partial u^i/\partial v, 0, 0)$.

The transmission problem (3.1)-(3.5) and (1.5) has been studied in [24], employing the integral equation method. In this paper we will also use the integral equation method to deal with the inverse problem. To this end, for l = 0, 1, 2 let $\Phi_l(x, y)$ denote the fundamental solution of the Helmholtz equation $\Delta + k_l^2$ in \mathbb{R}^2 and, for i, j = 0, 1 define the boundary integral operators S_{ijl} , K_{ijl} , K_{ijl}^T , T_{ijl} by

$$(S_{ijl}\psi)(x) = \int_{S_j} \Phi_l(x, y)\psi(y)ds(y) \qquad x \in S_i$$

$$(K_{ijl}\psi)(x) = \int_{S_j} \frac{\partial \Phi_l(x, y)}{\partial \nu(y)} \psi(y)ds(y) \qquad x \in S_i$$

$$(K_{ijl}^T\psi)(x) = \int_{S_j} \frac{\partial \Phi_l(x, y)}{\partial \nu(x)} \psi(y)ds(y) \qquad x \in S_i$$

$$(T_{ijl}\psi)(x) = \frac{\partial}{\partial \nu(x)} \int_{S_j} \frac{\partial \Phi_l(x, y)}{\partial \nu(y)} \psi(y)ds(y) \qquad x \in S_i$$

We also define the far field operators S_{∞} and K_{∞} corresponding to S_{ij0} , K_{ij0} by

$$(S_{\infty}\psi)(\hat{x}) = \frac{e^{i\pi/4}}{\sqrt{8\pi k_0}} \int_{S_0} e^{-ik_0\hat{x}\cdot y} \psi(y) ds(y) \qquad \hat{x} \in \mathbb{S}^1$$
$$(K_{\infty}\psi)(\hat{x}) = \frac{e^{i\pi/4}}{\sqrt{8\pi k_0}} \int_{S_0} \frac{\partial e^{-ik_0\hat{x}\cdot y}}{\partial \nu(y)} \psi(y) ds(y) \qquad \hat{x} \in \mathbb{S}^1$$

where \mathbb{S}^1 is the unit sphere in \mathbb{R}^2 . The reader is referred to [5] for mapping properties of these operators in the spaces of continuous and Hölder continuous functions.

Following [24], we seek a solution to the transmission problem (3.1)-(3.5) and (1.5) in the following form:

$$u^{s}(x) = \int_{S_{0}} \left[\lambda_{0} \frac{\partial \Phi_{0}(x, y)}{\partial \nu(y)} \psi_{1}(y) + \Phi_{0}(x, y) \psi_{2}(y) \right] ds(y) \qquad x \in \Omega_{0}$$

$$u(x) = \int_{S_{0}} \left[\frac{\partial \Phi_{1}(x, y)}{\partial \nu(y)} \psi_{1} + \Phi_{1}(x, y) \psi_{2}(y) \right] ds(x)$$

$$+ \int_{S_{1}} \left[\lambda_{1} \frac{\partial \Phi_{1}(x, y)}{\partial \nu(y)} \psi_{3}(y) + \Phi_{1}(x, y) \psi_{4}(y) \right] ds(y) \qquad x \in \Omega_{1}$$

$$u(x) = \int_{S_{1}} \left[\frac{\partial \Phi_{2}(x, y)}{\partial \nu(y)} \psi_{3} + \Phi_{2}(x, y) \psi_{4}(y) \right] ds(x) \qquad x \in \Omega_{2}$$

with $(\psi_1, \psi_2, \psi_3, \psi_4) \in X$. From the jump relations of the potential operators, it is known that the potentials u^s and u defined above solve the problem (3.1)-(3.5) and (1.5) if $\Psi = (\psi_1, \psi_2, \psi_3, \psi_4)^T$ solves the operator equation

$$(I+A)\Psi = R \tag{3.6}$$

where $R = (\mu_0 f_1, -\mu_0 f_2, \mu_1 f_3, -\mu_1 f_4)^T$, $\mu_i = 2/(1 + \lambda_i)$, i=0, 1, *I* is an identity operator and $A: X \to X$ is given by

$$\left(\begin{array}{ccccc} \mu_0(\lambda_0 K_{000} - K_{001}) & \mu_0(S_{000} - S_{001}) & -\mu_0\lambda_1 K_{011} & -\mu_0S_{011} \\ -\mu_0\lambda_0(T_{000} - T_{001}) & -\mu_0(K_{000}^T - \lambda_0K_{001}^T) & \mu_0\lambda_0\lambda_1T_{011} & \mu_0\lambda_0K_{011}^T \\ \mu_1K_{101} & \mu_1S_{101} & \mu_1(\lambda_1K_{111} - K_{112}) & \mu_1(S_{111} - S_{112}) \\ -\mu_1T_{101} & -\mu_1K_{101}^T & -\mu_1\lambda_1(T_{111} - T_{112}) & -\mu_1(K_{111}^T - \lambda_1K_{112}^T) \end{array} \right)$$

It was proved in [24] that the system (3.6) of integral equations is uniquely solvable in X (see Theorem 2.4 in [24] for details). Further, by the asymptotic behavior of the Green function [5], we have

$$u_{\infty}(\hat{x}) = \lambda_0(S_{\infty}\psi_1)(\hat{x}) + (K_{\infty}\psi_2)(\hat{x}), \qquad \hat{x} \in S^1,$$
(3.7)

where $(\psi_1, \psi_2) \in C^{1,\sigma}(S_0) \times C^{0,\sigma}(S_0)$ are the first two components of the unique solution to (3.6).

To generate the far field data, we use the Nyström method to solve the system (3.6) of integral equations. We assume that the boundaries S_j , j=0,1, can be parameterized as $x(t)=(x_1(t),x_2(t))$ for $0 \le t \le 2\pi$, where x(t) is an analytic and 2π -periodic function. Then, by the change of variables the integral operators S_{ijl} , K_{ijk} , K_{ijl}^T , i,j=0,1,l=0,1,2, and T_{ijl} ($i,j=0,1,l=0,1,2,i\neq j$) can be reduced to the form

$$\int_0^{2\pi} \left[k_1(t,\tau) \ln \left(4 \sin^2 \frac{t-\tau}{2} \right) + k_2(t,\tau) \right] \phi(\tau) d\tau$$

and T_{ijl} (i = j) can be reduced to the form

$$\frac{1}{2\pi} \int_0^{2\pi} \cot \frac{\tau - t}{2} \phi'(\tau) d\tau + \int_0^{2\pi} \left[k_1(t, \tau) \ln \left(4 \sin^2 \frac{t - \tau}{2} \right) + k_2(t, \tau) \right] \phi(\tau) d\tau$$

where $k_1(t,\tau), k_2(t,\tau)$ are analytic and 2π -periodic functions.

In this paper we use the following quadrature rule: for $0 \le t \le 2\pi$,

$$\int_{0}^{2\pi} \ln\left(4\sin^{2}\frac{t-\tau}{2}\right) f(\tau) d\tau \approx \sum_{j=0}^{2n-1} R_{j}^{(n)}(t) f(t_{j})$$

$$\int_{0}^{2\pi} f(\tau) d\tau \approx \frac{\pi}{n} \sum_{j=0}^{2n-1} f(t_{j})$$

$$\frac{1}{2\pi} \int_{0}^{2\pi} \cot\frac{\tau-t}{2} f'(\tau) d\tau \approx \sum_{j=0}^{2n-1} T_{j}^{(n)}(t) f(t_{j}^{(n)})$$

where, for j = 0, ..., 2n - 1,

$$R_{j}^{(n)}(t) := -\frac{2\pi}{n} \sum_{m=1}^{n-1} \frac{1}{m} \cos[m(t-t_{j})] - \frac{\pi}{n^{2}} \cos[n(t-t_{j}^{(n)})]$$

$$T_{j}^{(n)}(t) := -\frac{1}{n} \sum_{m=1}^{n-1} m \cos[m(t-t_{j}^{(n)})] - \frac{1}{2} \cos[n(t-t_{j}^{(n)})]$$

After finding the solution $\Psi = (\psi_1, \psi_2, \psi_3, \psi_4)^T$ to the system of integral equations, (3.6), we can use (3.7) to compute the far field pattern (cf. [5, 17, 29]).

4 The inverse problem

In this section, we propose a Newton iteration method for the inverse problem. We assume that S_0 and S_1 are starlike surfaces which can be parameterized by $\gamma_0(\theta)$ and $\gamma_1(\theta)$, respectively:

$$\gamma_0(\theta) = (0,0)^T + r_0(\theta) (\cos(\theta), \sin(\theta))^T$$

$$\gamma_1(\theta) = (a_1, a_2)^T + r_1(\theta) (\cos(\theta), \sin(\theta))^T$$

where $\theta \in [0, 2\pi]$ and (0, 0) and (a_1, a_2) are their centers, respectively. We will consider the noised perturbation u_{∞}^{δ} of the far field data u_{∞} in the sense that $\|u_{\infty}^{\delta} - u_{\infty}\|_{L^2(S^1)} \leq \delta \|u_{\infty}\|_{L^2(S^1)}$, where $\delta \geq 0$ is called the noise ratio. Thus, given $u_{\infty}^{\delta}(\cdot, d_i, k_0)$, i = 1, ..., P for a fixed k_0 , we rewrite (2.13) and (2.14), respectively, as

$$F_i(\gamma_0, \gamma_1, \lambda_1) \approx u_{\infty,i}^{\delta}, \quad i = 1, ..., P$$
 (4.1)

$$\tilde{F}_i(\gamma_0, \gamma_1, \tau_1) \approx u_{\infty,i}^{\delta}, \quad i = 1, ..., P$$

$$(4.2)$$

where $u_{\infty,i}^{\delta} = u_{\infty}^{\delta}(\cdot, d_i, k_0)$ and F_i, \tilde{F}_i represent the far field operators (4.1), (4.2) corresponding to the incident plane wave with direction d_i .

We now derive the Newton method for (4.1). The method is similar for (4.2). Assume that, after the m-th iteration, we have the approximate data $\gamma_0^{(m)}, \gamma_1^{(m)}, \lambda_1^{(m)}$ with $\lambda_1^{(m)} \neq 0$, where

$$\gamma_0^{(m)}(\theta) = (0,0)^T + r_0^{(m)}(\theta)(\cos(\theta), \sin(\theta))^T
\gamma_1^{(m)}(\theta) = (a_1^{(m)}, a_2^{(m)})^T + r_1^{(m)}(\theta)(\cos(\theta), \sin(\theta))^T$$

Then the linearized form of (4.1) becomes

$$\sum_{l=0}^{1} \frac{\partial F_{i}}{\partial \gamma_{l}} \Big|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \gamma_{l}^{(m)}) + \frac{\partial F_{i}}{\partial \lambda_{1}} \Big|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \lambda_{1}^{(m)}) + F_{i}(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)}) \approx u_{\infty, i}^{\delta}$$

$$(4.3)$$

for i=1,...,P, where $\Delta\gamma_l^{(m)}(\theta)=\gamma_l^{(m+1)}(\theta)-\gamma_l^{(m)}(\theta),\ l=0,1,$ and $\Delta\lambda_1^{(m)}=\lambda_1^{(m+1)}-\lambda_1^{(m)}$ are the updates to be determined. The linearized equation (4.3) is ill-posed, so a regularization strategy is needed. Following [13], we use the Levenberg-Marquardt algorithm, that is, we seek a solution $(\Delta\gamma_0^{(m)},\Delta\gamma_1^{(m)},\Delta\lambda_1^{(m)})$ with $\Delta\lambda_1^{(m)}\in\mathbb{R}$ and

$$\begin{split} \Delta \gamma_0^{(m)}(\theta) &= (0,0)^T + \Delta r_0^{(m)}(\theta) (\cos(\theta), \sin(\theta))^T \\ \Delta \gamma_1^{(m)}(\theta) &= (\Delta a_1^{(m)}, \Delta a_2^{(m)})^T + \Delta r_1^{(m)}(\theta) (\cos(\theta), \sin(\theta))^T, \end{split}$$

where $\Delta r_0^{(m)}$, $\Delta r_1^{(m)} \in H^s(0, 2\pi)$, $s \ge 0$, and $\Delta a_1^{(m)}$, $\Delta a_2^{(m)} \in \mathbb{R}$, such that $(\Delta \gamma_0^{(m)}, \Delta \gamma_1^{(m)}, \Delta \lambda_1^{(m)})$ is a solution to the minimization problem:

$$\sum_{i=1}^{P} \left\| \sum_{l=1}^{2} \frac{\partial F_{i}}{\partial \gamma_{l}} \right\|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \gamma_{l}^{(m)}) + \frac{\partial F_{i}}{\partial \lambda_{1}} \left\|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \lambda_{1}^{(m)}) + F_{i}(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)}) - u_{\infty, i}^{\delta} \right\|_{L^{2}(S^{1})}^{2} + \beta \left(\sum_{l=1}^{2} \|\Delta r_{l}^{(m)}\|_{H^{s}(S^{1})}^{2} + \sum_{l=1}^{2} |\Delta a_{l}^{(m)}|^{2} + (\Delta \lambda_{1}^{(m)})^{2} \right) \tag{4.4}$$

Here, $\beta \in \mathbb{R}^+$ is chosen such that

$$\left(\sum_{i=1}^{P} \left\| \sum_{l=1}^{2} \frac{\partial F_{i}}{\partial \gamma_{l}} \right|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \gamma_{l}^{(m)}) + \frac{\partial F_{i}}{\partial \lambda_{1}} \Big|_{(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)})} (\Delta \lambda_{1}^{(m)}) + F_{i}(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)}) - u_{\infty, i}^{\delta} \Big\|_{L^{2}(S^{1})}^{2} \right)^{\frac{1}{2}}$$

$$= \rho \left(\sum_{i=1}^{P} \left\| F_{i}(\gamma_{0}^{(m)}, \gamma_{1}^{(m)}, \lambda_{1}^{(m)}) - u_{\infty, i}^{\delta} \right\|_{L^{2}(S^{1})}^{2} \right)^{\frac{1}{2}}$$

$$(4.5)$$

with a given constant $\rho < 1$. After obtaining $(\Delta \gamma_0^{(m)}, \Delta \gamma_1^{(m)}, \Delta \lambda_1^{(m)})$, the new approximation to $(\gamma_0, \gamma_1, \lambda_1)$ will be $(\gamma_0^{(m+1)}, \gamma_1^{(m+1)}, \lambda_1^{(m+1)}) = (\gamma_0^{(m)} + \Delta \gamma_0^{(m)}, \gamma_1^{(m)} + \Delta \gamma_1^{(m)}, \lambda_1^{(m)} + \Delta \lambda_1^{(m)})$. In the numerical computation, we can use the bisection algorithm to determine β (see [13]). Note that β is unique if certain assumptions are satisfied (see [8]). In this paper we choose β by a posterior parameter choice rule. For other strategies of solving (4.1) we refer to [13].

Further, we define the relative error by

$$Err_{k_0}^{(m)} = \frac{1}{P} \sum_{i=1}^{P} \frac{\left\| F_i(\gamma_0^{(m)}, \gamma_1^{(m)}, \lambda_1^{(m)}) - u_{\infty,i}^{\delta} \right\|_{L^2(S^1)}}{\left\| u_{\infty,i}^{\delta} \right\|_{L^2(S^1)}}$$
(4.6)

Then the iteration is stopped if $Err_{k_0}^{(m)} < \tau \delta$, where $\tau > 1$ is a given constant. Here, we use the subscript to emphasize its dependance on the wave number k_0 .

In the numerical experiments, for fixed d, k_0 we use $u_{\infty}^{\delta}(\hat{x}_i, d, k_0), i = 1, ..., n$, to denote the measured far field data, where $\hat{x}_i = 2\pi(i-1)/n$. We then seek the approximate solution $\gamma_0^{(m)}, \gamma_1^{(m)}, \lambda_1^{(m)}$ with $r_0^{(m)}, r_1^{(m)} \in R_M \subset H^s(0, 2\pi)$ ($s \ge 0$) and $\lambda_1^{(m)} \in \mathbb{R}$, where

$$R_M := \{ r \in H^s(0, 2\pi) \, | \, r(\theta) = \alpha_0 + \sum_{l=1}^M \left[\alpha_l \cos(l\theta) + \alpha_{l+M} \sin(l\theta) \right], \, a_l \in \mathbb{R}, \, l = 0, ..., 2M \}.$$

Similarly as in [13], we use the following:

$$||f||_{L^{2}(\mathbb{S}^{1})}^{2} \approx \frac{2\pi}{n} \sum_{i=1}^{n} |f(\hat{x}_{i})|^{2}, \quad f \in C(\mathbb{S}^{1})$$

$$||g||_{H^{s}(\mathbb{S}^{1})}^{2} := 2\pi\alpha_{0} + \pi \sum_{l=1}^{M} \left[(1 + l^{2})^{s} (\alpha_{l}^{2} + \alpha_{l+M}^{2}) \right]$$

where $g(\theta) = \alpha_0 + \sum_{l=1}^{M} [\alpha_l \cos(l\theta) + \alpha_{l+M} \sin(l\theta)]$. Then (4.4), (4.5), (4.6) can be approximated, and the updated functions are computed by determining their coefficients. For the determination of the coefficients the reader is referred to [13], and for the computation of the Frechet derivative of the far field operator we refer to [11, 12, 16].

Remark 4.1. In Section 2, we observed that if $\lambda_1 \to 0$ then the solution u of the transmission problem (2.6)-(2.10) and (1.5) converges to the solution of the scattering problem (1.1)-(1.5) with a Neumann boundary condition $\mathcal{B}(u) := \partial u/\partial v = 0$ on S_1 and that if $\lambda_1 \to \infty$ (or $\tau_1 \to 0$) then the solution u of the transmission problem (2.6)-(2.10) and (1.5) converges to the solution of the scattering problem (1.1)-(1.5) with a Dirichlet boundary condition $\mathcal{B}(u) := u = 0$ on S_1 . Thus, the following fact is expected: 1) when u_∞ is the far field pattern corresponding to a sound-hard obstacle Ω_2 (i.e., a Neumann boundary condition on S_1) then $\lambda_1^{(m)}$ given by the Newton iteration method for (4.1) will be very close to zero, and 2) when u_∞ is the far field pattern corresponding to a sound-soft obstacle Ω_2 (i.e., a Dirichlet boundary condition on S_1) then $\tau_1^{(m)}$ given by the Newton iteration method for (4.2) will be very close to zero. However, there is no rigorous proof of this convergence result even for the case of an obstacle in the homogeneous background medium.

Remark 4.2. Since we do not know the boundary condition on S_1 , we choose a constant $\tilde{\lambda}$. Let $\lambda_1^{(m)}$ be the approximation of λ_1 after the m-th iteration and $\tau_1^{(m)} = 1/\lambda_1^{(m)}$. Then, if $|\lambda_1^{(m)}| <= \tilde{\lambda}$, we solve (4.1) to get $(\gamma_0^{(m+1)}, \gamma_1^{(m+1)}, \lambda_1^{(m+1)})$ and let $\tau_1^{(m+1)} = 1/\lambda_1^{(m+1)}$. On the other hand, if $|\lambda_1^{(m)}| > \tilde{\lambda}$ then we solve (4.2) to get $(\gamma_0^{(m+1)}, \gamma_1^{(m+1)}, \tau_1^{(m+1)})$ and let $\lambda_1^{(m+1)} = 1/\tau_1^{(m+1)}$. It should be noted that, in numerical computation, $\lambda_1^{(m)}$ may be negative. This does not affect the iteration process, and $\lambda_1^{(m)}$ can still be used to determine the type of boundary conditions on S_1 .

Remark 4.3. In our iteration algorithm, multiple frequency data are considered in order to get better reconstructions. General speaking, the low frequency data are used to get the main profiles of the boundaries and the higher frequency data are used to get a refined reconstruction.

Our Newton iteration algorithm is given in Algorithm 4.1 below.

Algorithm 4.1. Given
$$\lambda_0, n_1, u_{\infty}^{\delta}(\cdot, d_i, k_{0j}), i = 1, ..., P, j = 1, ..., Q$$
, where $k_{01} < k_{02} < \cdots < k_{0P}$.

- 1) Choose initial guesses $\gamma_0^{(0)}, \gamma_1^{(0)}, \lambda_1^{(0)}$ for $\gamma_0, \gamma_1, \lambda_1$, where $\lambda_1^{(0)} \neq 0$, and let Ir be the maximal iteration number for each fixed frequency. Set $j=0, \tau_1^{(0)}=1/\lambda_1^{(0)}$ and go to Step 2).
- 2) Set j = j + 1. If j > Q, then stop the iteration; otherwise, set $k_0 = k_{0j}$, m = 0 and go to Step 3).
- 3) If $Err_{k_0}^{(m)} < \tau \delta$ or m = Ir, then set $(\gamma_0^{(0)}, \gamma_1^{(0)}, \lambda_1^{(0)}, \tau_1^{(0)}) = (\gamma_0^{(m)}, \gamma_1^{(m)}, \lambda_1^{(m)}, \tau_1^{(m)})$ and return to Step 2; otherwise, go to Step 4).
- 4) Using the strategy in Remark 4.2, we get the new approximate data $(\gamma_0^{(m+1)}, \gamma_1^{(m+1)}, \lambda_1^{(m+1)}, \tau_1^{(m+1)})$.
- 5) Set m = m + 1, and return to Step 3).

Remark 4.4. Let $\lambda_1^{(end)}$ be the final approximation of λ_1 . If $|\lambda_1^{(end)}| >> 1$, then it is concluded that S_1 is a sound-soft obstacle, and if $|\lambda_1^{(end)}| << 1$, we then conclude that S_1 is a sound-hard obstacle.

5 Numerical examples

In this section, we present several numerical experiments to demonstrate the effectiveness of our algorithm. In all numerical experiments we make the following assumptions.

- 1) We choose $n_1 = 0.64$, $\lambda_0 = 1.2$, s = 1.6 (which is suggested in [13]), M=25, $\rho = 0.8$, $\tau = 1.5$ and $\tilde{\lambda} = 1$ for iteration. Since k_2 is a parameter at our disposal, we just choose $k_2 = k_1$ for each frequency.
- 2) To generate the synthetic data, we use the integral equation method in Section 3 to solve the direct problem. In order to avoid inverse crimes, we choose n = 128 for generating the synthetic data and n = 64 for solving the direct problem at each iteration.
- 3) We use the full far-field data with 64 measurement points. The noisy data u_{∞}^{δ} is obtained as $u_{\infty}^{\delta} = u_{\infty} + \delta \zeta ||u_{\infty}||_{L^{2}(\mathbb{S}^{1})}/||\zeta||_{L^{2}(\mathbb{S}^{1})}$, where ζ is a random number with $\text{Re}(\zeta)$, $\text{Im}(\zeta) \in N(0, 1)$.
- 4) The initial shapes of S_0 , S_1 are chosen to be circles.
- 5) For the incident plane waves, we choose $d_i = (cos(\theta_i), sin(\theta_i)), i = 1, ..., P$, where P is the number of incident plane waves and $\theta_i = 2\pi(i-1)/P$.
- 6) In each figure, we use solid line '-', dotted line '...' and dashed line '--' to represent the actual curve, the initial guess of the curve and the reconstructed curve, respectively.
- 7) The shapes of the actual boundaries are given in Table 1.

Type	Parametrization
Circle	$r_0(\cos t, \sin t), \ t \in [0, 2\pi]$
Apple shaped	$[(0.5 + 0.4\cos t + 0.1\sin(2t))/(1 + 0.7\cos t)](\cos t, \sin t), \ t \in [0, 2\pi]$
Kite shaped	$(\cos t + 0.65\cos(2t) - 0.65, 1.5\sin t), \ t \in [0, 2\pi]$
Rounded square	$(3/2)(\cos^3 t + \cos t, \sin^3 t + \sin t), \ t \in [0, 2\pi]$
Rounded triangle	$(2 + 0.3\cos(3t))(\cos t, \sin t), \ t \in [0, 2\pi]$

Table 1: Parametrization of the boundaries S_0 and S_1 .

Example 1. In this example, we consider a sound-soft, apple-shaped obstacle embedded in a rounded triangle-shaped penetrable obstacle. Suppose the far-field data are given for this case which corresponds to a Dirichlet boundary condition on the boundary S_1 of the embedded obstacle. However, the boundary condition on S_1 is assumed unknown, and we will use our method to reconstruct both obstacles and determine the type of boundary conditions on S_1 . For the initial guesses $\gamma_0^{(0)}$, $\gamma_1^{(0)}$ of S_0 , S_1 , respectively, we choose the radii $r_0^{(0)} = 2.4$, $r_1^{(0)} = 0.5$, respectively, and the two centers to be the origin (0,0). By trials, choose $\lambda^{(0)} = 10$. Here, the center of $\gamma_1^{(m)}$ is updated at each iteration. In Figure 2, the reconstruction results are given by using the exact data with a fixed wave number $k_0 = 2$. Figure 2(a), 2(b), 2(c) and 2(d) show the reconstructed results with one, two, three and four incident plane waves, respectively. It is observed that using more incident plane waves yields a better reconstruction result. Figures 3 and 4 give the reconstruction results by using the 3% noisy far-field data with one and four incident plane waves, respectively. Here, we use multiple frequency data with $k_0 = 2, 4, 6, 8$. From Figures 3 and 4 it is seen that multiple frequency data yield excellent reconstruction results. Finally, since $|\lambda_1^{(Ir)}| >> 1$ for each case, we can conclude that S_1 is a sound-soft boundary.

Example 2. In this example, we consider the problem in Example 1 again. Here, the far-field data are given again for the case of an embedded, sound-soft obstacle, and S_1 is also assumed to be a sound-soft boundary. We will use the Newton iteration method for the inverse problem corresponding to the original scattering problem (1.1)-(1.5) with $\mathcal{B}(u) := u$ to reconstruct the two obstacles. The initial guesses of $\gamma_0, \gamma_1, \lambda_0$ are the same as in Example 1, and the center of $\gamma_1^{(m)}$ is updated at each iteration. In Figure 5, we present the reconstruction results by using the 3% noisy far-field data with one incident direction and multiple frequencies $k_0 = 2, 4, 6, 8$. From Figures 3 and 5 it can be seen that the reconstruction obtained by both methods is almost the same.

Example 3. In this example, we consider a sound-hard, kite-shaped obstacle embedded in a rounded square-shaped penetrable obstacle. We assume that the far-field data are given for this case which corresponds to a Neumann boundary condition on the boundary S_1 of the embedded obstacle. However, the boundary condition on S_1 is assumed unknown, and we will use our method to reconstruct both obstacles and determine the type of boundary conditions on S_1 . For initial guesses of S_0 , S_1 , we choose the radii $r_0^{(0)} = 2.8$, $r_1^{(0)} = 1$ and the two centers to be (0,0) and (0.5,0), respectively. By trial, we choose $\lambda_1^{(0)} = 1$. Since S_0 and S_1 are very close, it is more difficult to reconstruct both obstacles. In order to get a stable reconstruction, we use at least three incident plane waves. Moreover, the center of $\gamma_1^{(m)}$ is updated only at the first five iterations. Figure 6 shows the reconstruction results using the exact far-field data with a fixed wave number $k_0 = 1$. Figures 6(a), 6(b), 6(c) and 6(d) give the reconstruction results with three, four, eight and sixteen incident plane waves, respectively. It is observed that using more incident plane waves does not yield a better reconstruction even for the exact data. In Figure 7 we present the reconstruction results using the 3% noisy far-field data with three incident directions and multiple frequencies $k_0 = 1, 3, 5, 7$. Compared with Figure 6, using multiple frequency data gives a much better reconstruction even for the noisy data. Since $|\lambda_1^{(Ir)}| << 1$ for each case, we conclude that S_1 is a sound-hard boundary.

Example 4. In this example, we consider the same problem as in Example 3. Here, the far-field data are given again for the case of an embedded, sound-hard obstacle, and S_1 is also assumed to be a sound-hard boundary. We will use the Newton iteration method for the inverse problem corresponding to the original scattering problem (1.1)-(1.5) with $\mathcal{B}(u) := \partial u/\partial v$ to reconstruct the two obstacles. The initial guesses of $\gamma_0, \gamma_1, \lambda_0$ are the same as in Example 3, and the center of $\gamma_1^{(m)}$ is updated only at the first five iterations. In Figure 8, we present the reconstruction results by using the 3% noisy far-field data with three incident direction and multiple frequencies $k_0 = 2, 4, 6, 8$. Figures 7 and 8 indicate that the reconstruction obtained by both methods is almost the same.

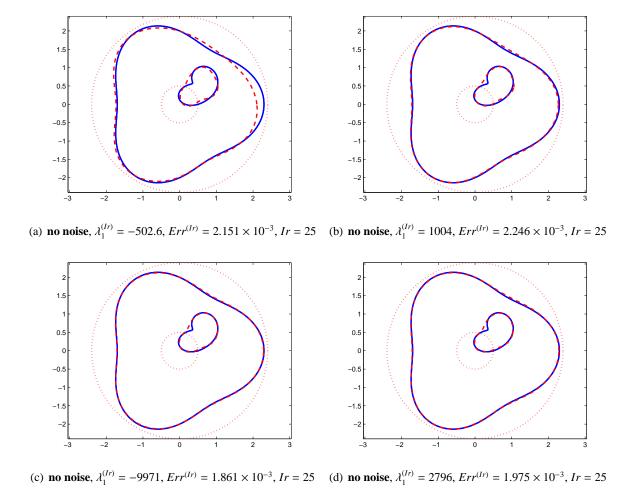


Figure 2: An apple-shaped, sound-soft obstacle embedded in a rounded triangle-shaped penetrable obstacle. The boundary condition on S_1 is assumed unknown, and the exact data with a fixed wave number $k_0 = 2$ are used. (a), (b), (c) and (d) give the reconstructed results with using one, two, three and four incident plane waves, respectively.

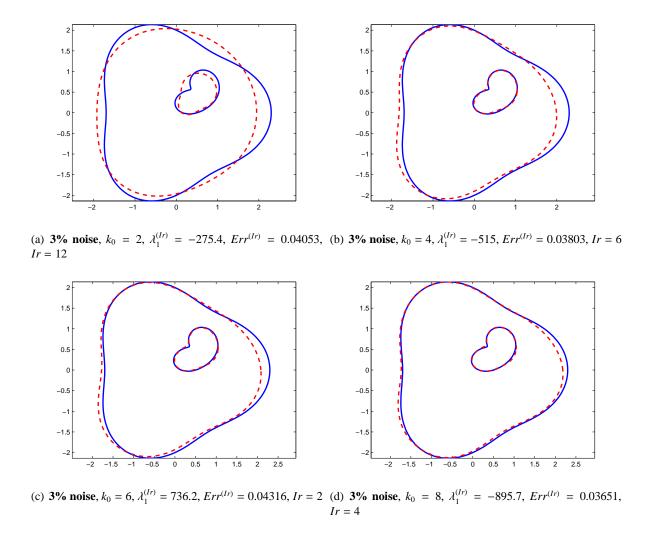


Figure 3: An apple-shaped, sound-soft obstacle embedded in a rounded triangle-shaped penetrable obstacle. The boundary condition on S_1 is assumed unknown, and noisy multi-frequency data with one incident plane wave are used. (a), (b), (c) and (d) show the reconstructions at $k_0 = 2, 4, 6, 8$, respectively.

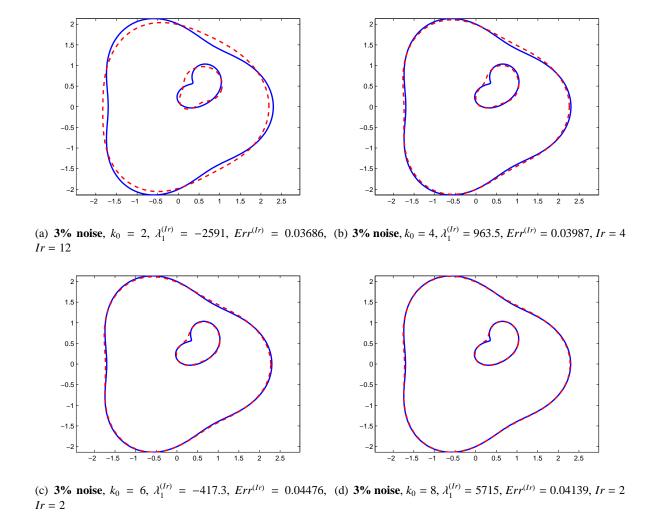


Figure 4: An apple-shaped, sound-soft obstacle embedded in a rounded triangle-shaped penetrable obstacle. The boundary condition on S_1 is assumed unknown, and noisy multi-frequency data with four incident plane waves are used. (a), (b), (c) and (d) show the reconstructions at $k_0 = 2, 4, 6, 8$, respectively.

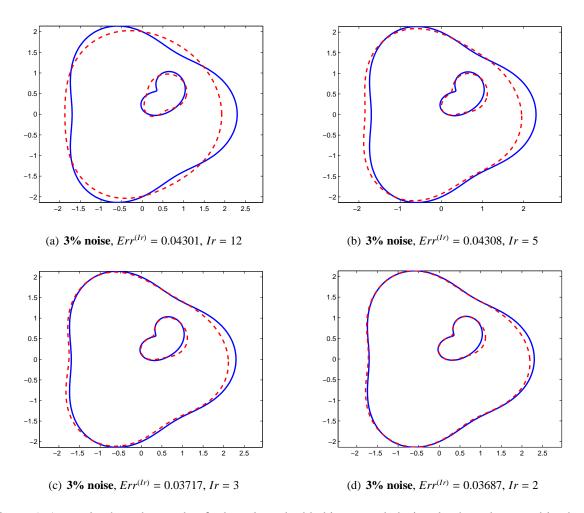


Figure 5: An apple-shaped, sound-soft obstacle embedded in a rounded triangle-shaped penetrable obstacle. The boundary condition on S_1 is assumed known, and noisy multi-frequency data with one incident plane wave are used. (a), (b), (c) and (d) show the reconstructions at $k_0 = 2, 4, 6, 8$, respectively.

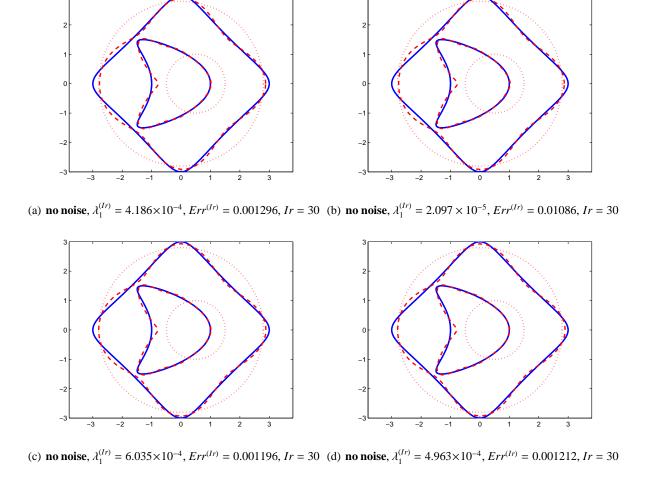


Figure 6: An kite-shaped, sound-hard obstacle embedded in a rounded square-shaped penetrable obstacle. The boundary condition on S_1 is assumed unknown, and the exact data with a fixed wave number $k_0 = 1$ are used. (a), (b), (c) and (d) show the reconstruction results using three, four, eight and sixteen incident plane waves, respectively.

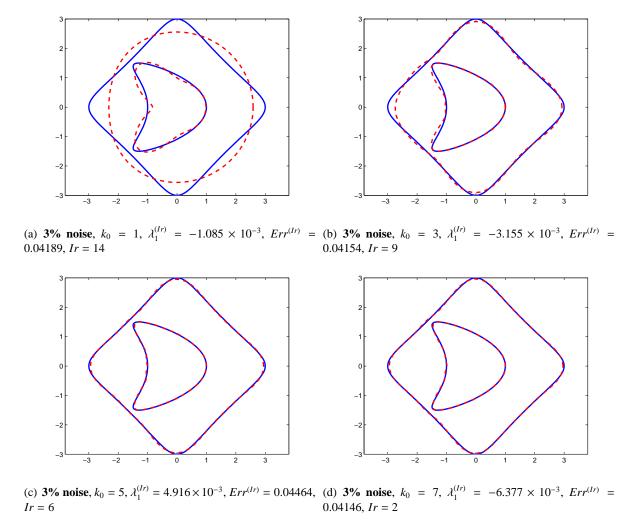


Figure 7: An kite-shaped, sound-hard obstacle embedded in a rounded square-shaped penetrable obstacle. The boundary condition on S_1 is assumed unknown, and noisy multi-frequency data with three incident plane waves are used. (a), (b), (c) and (d) show the reconstructions at $k_0 = 1, 3, 5, 7$, respectively.

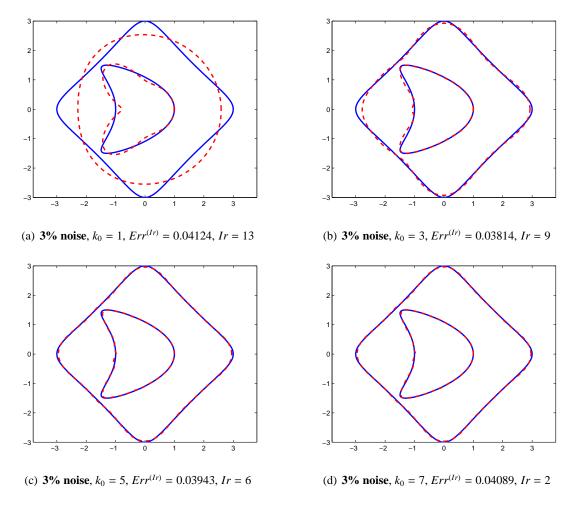


Figure 8: An kite-shaped, sound-hard obstacle embedded in a rounded square-shaped penetrable obstacle. The boundary condition on S_1 is assumed known, and noisy multi-frequency data with three incident plane waves are used. (a), (b), (c) and (d) show the reconstructions at $k_0 = 1, 3, 5, 7$, respectively.

Appendix: Frechet derivatives

In this appendix we study the Frechet derivative of the far field operators F and \tilde{F} when $\lambda_1 > 0$ and $\tau_1 > 0$, respectively.

Let $(h_0, h_1, \Delta \lambda_1) \in C^1(S_0) \times C^1(S_1) \times \mathbb{R}$ be small perturbations of (S_0, S_1, λ_1) (that is, $||h_i||_{C^1(S_i)}$, $\Delta \lambda_1 << 1$, i=0,1). Define $(S_i)_{h_i} := \{y \in \mathbb{R}^2 \mid y = x + h_i(x), x \in S_i\}$, i=0,1. The far field operator F is called Frechet differentiable at (S_0, S_1, λ_1) if there exists a linear bounded operator $F'|_{(S_0, S_1, \lambda_1)} : C^1(S_0) \times C^1(S_1) \times \mathbb{R} \to L^2(S^1)$ such that

$$\begin{split} \|F((S_0)_{h_0},(S_1)_{h_1},\lambda_1+\Delta\lambda_1)-F(S_0,S_1,\lambda_1)-F'|_{(S_0,S_1,\lambda_1)}(h_0,h_1,\Delta\lambda_1)\|_{L^2(S^1)}\\ &=o\left(\|h_0\|_{C^1(S_0)}+\|h_1\|_{C^1(S_1)}+|\Delta\lambda_1|\right) \end{split}$$

 $F'|_{(S_0,S_1,\lambda_1)}$ is called the Frechet derivative of F at (S_0,S_1,λ_1) . Following the idea in [10, 16], we have the following theorem to characterize the Frechet derivation of F when $\lambda_1 > 0$.

Theorem A.1. Let S_0 , S_1 be C^2 and let $u \in H^1_{loc}(\mathbb{R}^2)$ be the solution of the problem (2.6)-(2.10) and (1.5) with $\lambda_1 > 0$. Then F is Frechet differentiable at (S_0, S_1, λ_1) and the Frechet derivative $F'|_{(S_0, S_1, \lambda_1)}$ is given by $F'|_{(S_0, S_1, \lambda_1)}(h_0, h_1, \Delta \lambda_1) = u'_{\infty}$, where u'_{∞} is the far-field pattern of $u' \in H^1_{loc}(\Omega_0) \cap H^1(\Omega_1) \cap H^1(\Omega_2)$ which solves the problem (3.1)-(3.5) and (1.5) with

$$f_{1} = -(h_{0})_{v} \left(\frac{\partial u_{+}}{\partial v} - \frac{\partial u_{-}}{\partial v} \right) \Big|_{S_{0}},$$

$$f_{2} = (k_{0}^{2} - \lambda_{0}k_{1}^{2})(h_{0})_{v}u\Big|_{S_{0}} + Div_{S_{0}} \left[(h_{0})_{v}((\nabla u_{+})_{t} - \lambda_{0}(\nabla u_{-})_{t}) \right],$$

$$f_{3} = -(h_{1})_{v} \left(\frac{\partial u_{+}}{\partial v} - \frac{\partial u_{-}}{\partial v} \right) \Big|_{S_{1}},$$

$$f_{4} = (k_{1}^{2} - \lambda_{1}k_{2}^{2})(h_{1})_{v}u\Big|_{S_{1}} + Div_{S_{1}} \left[(h_{1})_{v}((\nabla u_{+})_{t} - \lambda_{1}(\nabla u_{-})_{t}) \right] + \frac{\Delta \lambda_{1}}{\lambda_{1}} \frac{\partial u_{+}}{\partial v} \Big|_{S_{1}},$$

where h_v and h_t denote the normal and tangential components of the vector field h.

Remark A.2. From [9] it follows that $u \in H^2_{loc}(\Omega_0) \cap H^2(\Omega_1) \cap H^2(\Omega_2)$, which guarantees the existence of u'.

Proof. Our proof is based on the variational formulation. To this end, let B_R be a fixed ball of radius R and centered at the original with R large enough such that $\Omega_1 \cup \Omega_2 \subset B_R$ and let L be the Dirichlet to Neumann (D-N) map defined on ∂B_R [5]. It is easy to see that the solution u satisfies that for all $v \in H^1(B_R)$,

$$\int_{B_{P}} (\alpha \nabla u \cdot \nabla \overline{v} - k^{2} u \overline{v}) dx - \langle Lu, v \rangle = \int_{\partial B_{P}} \left(\frac{\partial u^{i}}{\partial v} - Lu^{i} \right) \overline{v} dx \tag{A.1}$$

with

$$\alpha = \begin{cases} 1 & \text{in } \Omega_0, \\ \lambda_0 & \text{in } \Omega_1, \\ \lambda_0 \lambda_1 & \text{in } \Omega_2, \end{cases} \qquad k^2 = \begin{cases} k_0^2 & \text{in } \Omega_0, \\ \lambda_0 k_1^2 & \text{in } \Omega_1, \\ \lambda_0 \lambda_1 k_2^2 & \text{in } \Omega_2, \end{cases}$$

where $\langle \cdot, \cdot \rangle$ denotes the dual between $H^{-1/2}(\partial B_R)$ and $H^{1/2}(\partial B_R)$. For the property of L and the proof of the equivalence between the variational equation and the origin problem, we refer to [23] where a similar problem is considered.

Denote by S(u, v) the left-hand side of (A.1). Then, by the Fredholm alternative and the uniqueness of the origin problem, it can be proved that there exists a linear bounded operator $B: H^1(B_R) \to H^1(B_R)$ with a bounded inverse such that

$$S(u, v) = (B(u), v), \tag{A.2}$$

where (\cdot, \cdot) denotes the inner product in $H^1(B_R)$. Thus, for any $f \in H^1(B_R)$ there exists a unique function $u_f \in H^1(B_R)$ such that $S(u_f, v) = (f, v)$ for all $v \in H^1(B_R)$.

Let K_0, K_1 be two domains with $S_0 \subset K_0 \subset\subset B_R \backslash \overline{\Omega}_2$, $S_1 \subset K_1 \subset\subset B_R \backslash \overline{\Omega}_0$ and $\overline{K}_0 \cap \overline{K}_1 = \emptyset$. For $(h_0, h_1) \in C^1(S_0) \times C^1(S_1)$ there exists an extension of (h_0, h_1) which is denoted again by (h_0, h_1) such that $h_0, h_1 \in C^1(\mathbb{R}^2)$, $\operatorname{supp}(h_0) \subset K_0$, $\operatorname{supp}(h_1) \subset K_1$ and $\|h_i\|_{C^1(\mathbb{R}^2)} \leq C\|h_i\|_{C^1(S_i)}$, i = 0, 1. Let $h(x) = h_0(x) + h_1(x)$ for $x \in \mathbb{R}^2$ and let $\varphi(x) = x + h(x)$. If $\|h_i\|_{C^1(S_i)}$, i = 0, 1, are small enough, then $\varphi : B_R \to B_R$ is a diffeomorphism (see [13]) and φ maps S_0 to $(S_0)_{h_0}$, S_1 to $(S_1)_{h_1}$, respectively. Denote by ψ the inverse of φ and by J_{φ} , J_{ψ} the Jacobians of φ, ψ , respectively.

Let $u_{(h,\Delta\lambda_1)}$ be the solution of the problem (2.6)-(2.10) and (1.5) with (S_0, S_1, λ_1) replaced by $((S_0)_{h_0}, (S_1)_{h_1}, \lambda_1 + \Delta\lambda_1)$. Then $u_{(h,\Delta\lambda_1)}$ satisfies that for all $v \in H^1(B_R)$,

$$\int_{B_R} (\alpha_{(h,\Delta\lambda_1)} \nabla u_{(h,\Delta\lambda_1)} \cdot \nabla \overline{v} - k_{(h,\Delta\lambda_1)}^2 u_{(h,\Delta\lambda_1)} \overline{v}) dx - \langle L u_{(h,\Delta\lambda_1)}, v \rangle = \int_{\partial B_R} \left(\frac{\partial u^i}{\partial v} - L u^i \right) \overline{v} dx \tag{A.3}$$

with

$$\alpha_{(h,\Delta\lambda_1)} = \begin{cases} 1 & \text{in } (\Omega_0)_h, \\ \lambda_0 & \text{in } (\Omega_1)_h, \\ \lambda_0(\lambda_1 + \Delta\lambda_1) & \text{in } (\Omega_2)_h, \end{cases} k_{(h,\Delta\lambda_1)}^2 = \begin{cases} k_0^2 & \text{in } (\Omega_0)_h, \\ \lambda_0k_1^2 & \text{in } (\Omega_1)_h, \\ \lambda_0(\lambda_1 + \Delta\lambda_1)k_2^2 & \text{in } (\Omega_2)_h, \end{cases}$$

where $(\Omega_i)_h = \{x + h(x) \mid x \in \Omega_i\}$, i = 0, 1, 2. If $\Delta \lambda_1$ is small enough, then the unique solution of the problem (A.3) exists and can be extended outside of B_R such that $u_{(h,\Delta\lambda_1)} \in H^1_{loc}(\mathbb{R}^2)$ with $u_{(h,\Delta\lambda_1)}$ satisfying (2.6) and $u_{(h,\Delta\lambda_1)} - u^i$ satisfying (1.5).

Write $\tilde{u}_{(h,\Delta\lambda_1)} = u_{(h,\Delta\lambda_1)} \circ \varphi$. Then, by the change of variables, we obtain that for all $v \in H^1(B_R)$,

$$\begin{split} &\int_{B_R} (\alpha \nabla \tilde{u}_{(h,\Delta \lambda_1)}^T J_{\psi} J_{\psi}^T \nabla \overline{v} - k^2 \tilde{u}_{(h,\Delta \lambda_1)} \overline{v}) \det(J_{\varphi}) dx \\ &+ \lambda_0 \Delta \lambda_1 \int_{\Omega_2} (\nabla \tilde{u}_{(h,\Delta \lambda_1)}^T J_{\psi} J_{\psi}^T \nabla \overline{v} - k_2^2 \tilde{u}_{(h,\Delta \lambda_1)} \overline{v}) \det(J_{\varphi}) dx - \langle L \tilde{u}_{(h,\Delta \lambda_1)}, v \rangle = \int_{\partial B_R} \left(\frac{\partial u^i}{\partial v} - L u^i \right) \overline{v} dx \end{split}$$

Denote by $S_{(h,\Delta\lambda_1)}(\tilde{u}_{(h,\Delta\lambda_1)}, v)$ the left-hand side of the above equation. Then, for all $v \in H^1(B_R)$ we have

$$\begin{split} S\left(u-\tilde{u}_{(h,\Delta\lambda_1)},v\right) &= S_{(h,\Delta\lambda_1)}(\tilde{u}_{(h,\Delta\lambda_1)},v) - S\left(\tilde{u}_{(h,\Delta\lambda_1)},v\right) \\ &= \int_{B_R} \left[\alpha \nabla \tilde{u}_{(h,\Delta\lambda_1)}^T \left(J_\psi J_\psi^T \det(J_\varphi) - I\right) \nabla \overline{v} - k^2 \left(\det(J_\varphi) - 1\right) \tilde{u}_{(h,\Delta\lambda_1)} \overline{v}\right] dx \\ &+ \lambda_0 \Delta \lambda_1 \int_{\Omega_2} \left(\nabla \tilde{u}_{(h,\Delta\lambda_1)}^T J_\psi J_\psi^T \nabla \overline{v} - k_2^2 \tilde{u}_{(h,\Delta\lambda_1)} \overline{v}\right) \det(J_\varphi) dx \end{split}$$

Let $w \in H^1(B_R)$ be the solution of the problem: find $w \in H^1(B_R)$ such that

$$S(w,v) = \int_{B_R} \left[\alpha \nabla u^T \left(J_h + J_h^T - \nabla \cdot (hI) \right) \nabla \overline{v} + k^2 (\nabla \cdot h) u \overline{v} \right] dx$$
$$-\lambda_0 \Delta \lambda_1 \int_{\Omega_2} \left(\nabla u^T \nabla \overline{v} - k_2^2 u \overline{v} \right) dx, \qquad \forall v \in H^1(B_R)$$
(A.4)

(by the property of $S(\cdot,\cdot)$, it is easy to prove that such a w exists). Then, and since $|J_{\psi}J_{\psi}^{T}\det(J_{\varphi}) - I + (J_{h} + J_{h}^{T} - (\nabla \cdot h)I)| = O\left(||h||_{C^{1}(\overline{B_{R}})}^{2}\right)$, $\det(J_{\varphi}) - 1 = \nabla \cdot h + O\left(||h||_{C^{1}(\overline{B_{R}})}^{2}\right)$ and $\tilde{u}_{(h,\Delta\lambda_{1})}$ tends to u in $H^{1}(B_{R})$ (which can be proved similarly as in [10]), it is easy to prove that

$$\sup_{v \in H^1(B_R)} \frac{S(\tilde{u}_{(h,\Delta\lambda_1)} - u - w, v)}{\|v\|_{H^1(B_R)}} = o\left(\|h\|_{C^1(\overline{B_R})} + |\Delta\lambda_1|\right). \tag{A.5}$$

Then, by (A.2) we have $[1/(\|h\|_{C^1(B_R)} + |\Delta\lambda_1|)](\tilde{u}_{(h,\Delta\lambda_1)} - u - w) \to 0$ in $H^1(B_R)$ as $\|h\|_{C^1(B_R)} + |\Delta\lambda_1|$ tends to zero. This, together with the trace theorem, implies that $[1/(\|h\|_{C^1(B_R)} + |\Delta\lambda_1|)](\tilde{u}_{(h,\Delta\lambda_1)} - u - w) \to 0$ in $H^{1/2}(\partial B_R)$ as $\|h\|_{C^1(B_R)} + |\Delta\lambda_1|$ tends to zero.

in $H^{1/2}(\partial B_R)$ as $||h||_{C^1(B_R)} + |\Delta \lambda_1|$ tends to zero. Now let $u' = w - h \cdot \nabla u$. Then $u' \in H^1(B_R \cap \Omega_0) \cap H^1(\Omega_1) \cap H^1(\Omega_2)$ since $u \in H^2_{loc}(\Omega_0) \cap H^2(\Omega_1) \cap H^2(\Omega_2)$. Note that

$$\nabla u^T [J_h + J_h^T - (\nabla \cdot h)I] \nabla \overline{v} = \nabla \cdot [(h \cdot \nabla \overline{v}) \nabla u - (\nabla u \cdot \nabla \overline{v})h] + \nabla (h \cdot \nabla u) \cdot \nabla \overline{v} - (h \cdot \nabla \overline{v}) \Delta u.$$

Then for $v \in H^2(B_R)$ the right-hand side of (A.4) becomes

$$\begin{split} \int_{B_R} \left[\alpha \nabla (h \cdot \nabla u) \cdot \nabla \overline{v} + \alpha \nabla \cdot \left((h \cdot \nabla \overline{v}) \nabla u - (\nabla u \cdot \nabla \overline{v}) h \right) - \alpha (h \cdot \nabla \overline{v}) \Delta u + k^2 (\nabla \cdot h) u \overline{v} \right] dx \\ - \lambda_0 \Delta \lambda_1 \int_{\Omega_2} (\nabla u^T \nabla \overline{v} - k_2^2 u \overline{v}) dx \\ &= \int_{B_R} \left[\alpha \nabla (h \cdot \nabla u) \cdot \nabla \overline{v} - k^2 (h \cdot \nabla u) \overline{v} + \alpha \nabla \cdot \left((h \cdot \nabla \overline{v}) \nabla u - (\nabla u \cdot \nabla \overline{v}) h \right) + k^2 \nabla \cdot (h u \overline{v}) \right] dx \\ - \lambda_0 \Delta \lambda_1 \int_{\Omega_2} \nabla \cdot (\nabla u \overline{v}) dx. \end{split}$$

On the other hand, the left-hand side of (A.4) is $S(w, v) = \int_{B_R} (\alpha \nabla w \cdot \nabla \overline{v} - k^2 w \overline{v}) dx - \langle Lw, v \rangle$. Thus, we have that for $v \in H^2(B_R)$,

$$S(u',v) = \int_{B_R} \left[\alpha \nabla \cdot ((h \cdot \nabla \overline{v}) \nabla u - (\nabla u \cdot \nabla \overline{v}) h) + k^2 \nabla \cdot (h u \overline{v}) \right] dx - \lambda_0 \Delta \lambda_1 \int_{\Omega_2} \nabla \cdot (\nabla u \overline{v}) dx$$

where we have used the fact that h(x) = 0 in the neighborhood of ∂B_R . By the divergence theorem, the

right-hand side of the above equation becomes

$$-\int_{S_0} \left[((h \cdot \nabla \overline{v})(\nabla u)_+ - ((\nabla u)_+ \cdot \nabla \overline{v})h) \cdot v + k_0^2 h_v u \overline{v} \right] ds$$

$$+\lambda_0 \int_{S_0} \left[((h \cdot \nabla \overline{v})(\nabla u)_- - ((\nabla u)_- \cdot \nabla \overline{v})h) \cdot v + k_1^2 h_v u \overline{v} \right] ds$$

$$-\lambda_0 \int_{S_1} \left[((h \cdot \nabla \overline{v})(\nabla u)_+ - ((\nabla u)_+ \cdot \nabla \overline{v})h) \cdot v + k_1^2 h_v u \overline{v} \right] ds$$

$$+\lambda_0 \lambda_1 \int_{S_1} \left[((h \cdot \nabla \overline{v})(\nabla u)_- - ((\nabla u)_- \cdot \nabla \overline{v})h) \cdot v + k_2^2 h_v u \overline{v} \right] ds - \lambda_0 \Delta \lambda_1 \int_{S_1} \frac{\partial u_-}{\partial v} \overline{v} ds$$

$$= -\int_{S_0} \left[(h \cdot \nabla \overline{v}) \left(\frac{\partial u_+}{\partial v} - \lambda_0 \frac{\partial u_-}{\partial v} \right) - h_v ((\nabla u)_+ - \lambda_0 (\nabla u)_-) \cdot \nabla \overline{v} + (k_0^2 - \lambda_0 k_1^2) h_v u \overline{v} \right] ds$$

$$-\lambda_0 \int_{S_1} \left[(h \cdot \nabla \overline{v}) \left(\frac{\partial u_+}{\partial v} - \lambda_1 \frac{\partial u_-}{\partial v} \right) - h_v ((\nabla u)_+ - \lambda_1 (\nabla u)_-) \cdot \nabla \overline{v} + (k_1^2 - \lambda_1 k_2^2) h_v u \overline{v} \right] ds$$

$$-\lambda_0 \Delta \lambda_1 \int_{S_1} \frac{\partial u_-}{\partial v} \overline{v} ds$$

$$= \int_{S_0} \left[h_v ((\nabla u_+)_t - \lambda_0 (\nabla u_-)_t) \cdot (\nabla \overline{v})_t - (k_0^2 - \lambda_0 k_1^2) h_v u \overline{v} \right] ds$$

$$+\lambda_0 \int_{S_1} \left[h_v ((\nabla u_+)_t - \lambda_1 (\nabla u_-)_t) \cdot (\nabla \overline{v})_t - (k_1^2 - \lambda_1 k_2^2) h_v u \overline{v} \right] ds - \lambda_0 \Delta \lambda_1 \int_{S_1} \frac{\partial u_-}{\partial v} \overline{v} ds, \quad (A.6)$$

where we have used the transmission conditions (2.9) and (2.10) on S_i , i=0,1. Then we conclude that u' satisfies the equation (3.1) in $B_R \cap \Omega_0$ and the equations (3.1) and (3.3) and that $Lu' = \partial u'_-/\partial v|_{\partial B_R}$. We extend u' outside of B_R to be the solution of the Helmholtz equation $\Delta U + k_0^2 U = 0$ satisfying the Dirichlet boundary condition $U = u'_-$ on ∂B_R and the radiation condition (1.5). Denote the extension of u' by u' again. Then u' satisfies (3.1) in Ω_0 , and we have

$$S(u',v) = -\int_{S_0} \left(\frac{\partial u'_+}{\partial v} - \lambda_0 \frac{\partial u'_-}{\partial v} \right) \overline{v} ds - \lambda_0 \int_{S_1} \left(\frac{\partial u'_+}{\partial v} - \lambda_1 \frac{\partial u'_-}{\partial v} \right) \overline{v} ds.$$

From this and (A.6) it follows that

$$\frac{\partial u'_{+}}{\partial v} - \lambda_{0} \frac{\partial u'_{-}}{\partial v} = (k_{0}^{2} - \lambda_{0} k_{1}^{2})(h_{0})_{v} u \Big|_{S_{0}} + Div_{S_{0}} \Big[(h_{0})_{v} ((\nabla u_{+})_{t} - \lambda_{0} (\nabla u_{-})_{t}) \Big] \quad \text{on } S_{0},$$

$$\frac{\partial u'_{+}}{\partial v} - \lambda_{1} \frac{\partial u'_{-}}{\partial v} = (k_{1}^{2} - \lambda_{1} k_{2}^{2})(h_{1})_{v} u \Big|_{S_{1}} + Div_{S_{1}} \Big[(h_{1})_{v} ((\nabla u_{+})_{t} - \lambda_{1} (\nabla u_{-})_{t}) \Big] + \frac{\Delta \lambda_{1}}{\lambda_{1}} \frac{\partial u_{+}}{\partial v} \Big|_{S_{1}} \quad \text{on } S_{1}.$$

The definition of u' gives

$$u'_{+} - u'_{-} = -(h_0)_{\nu} \left(\frac{\partial u_{+}}{\partial \nu} - \frac{\partial u_{-}}{\partial \nu} \right) \Big|_{S_0} \quad \text{on } S_0,$$

$$u'_{+} - u'_{-} = -(h_1)_{\nu} \left(\frac{\partial u_{+}}{\partial \nu} - \frac{\partial u_{-}}{\partial \nu} \right) \Big|_{S_1} \quad \text{on } S_1.$$

Since $supp(h) \subset B_R$, we have $\tilde{u}_{(h,\Delta\lambda_1)} - u - w = u_{(h,\Delta\lambda_1)} - u - u'$ on ∂B_R . This, together with the discussion just following (A.5), implies that $(u^s_{(h,\Delta\lambda_1)} - u^s - u')_{\infty} = o(\|h_0\|_{C^1(S_0)} + \|h_1\|_{C^1(S_1)} + |\Delta\lambda_1|)$ as $\|h_0\|_{C^1(S_0)} + \|h_1\|_{C^1(S_1)} + |\Delta\lambda_1| \to 0$. We thus conclude that F is Frechet differentiable at (S_0, S_1, λ_1) and the Frechet derivative $F'|_{(S_0,S_1,\lambda_1)}(h_0,h_1,\Delta\lambda_1) = u'_{\infty}$. The proof is then completed.

The following theorem can be shown similarly.

Theorem A.3. Let S_0 , S_1 be C^2 and let $u \in H^1_{loc}(\mathbb{R}^2)$ be the solution of the problem (2.6)-(2.7), (2.9), (2.11)-(2.12) and (1.5) with $\tau_1 > 0$. Then \tilde{F} is Frechet differentiable at (S_0, S_1, τ_1) and the Frechet derivative $\tilde{F}'|_{(S_0, S_1, \tau_1)}$ is given by $\tilde{F}'|_{(S_0, S_1, \tau_1)}(h_0, h_1, \Delta \tau_1) = u'_{\infty}$, where u'_{∞} is the far-field pattern of $u' \in H^1_{loc}(\Omega_0) \cap H^1(\Omega_1) \cap H^1(\Omega_2)$ which solves the problem (3.1)-(3.5) and (1.5) with

$$f_{1} = -(h_{0})_{v} \left(\frac{\partial u_{+}}{\partial v} - \frac{\partial u_{-}}{\partial v} \right) \Big|_{S_{0}},$$

$$f_{2} = (k_{0}^{2} - \lambda_{0}k_{1}^{2})(h_{0})_{v}u\Big|_{S_{0}} + Div_{S_{0}} \Big[(h_{0})_{v}((\nabla u_{+})_{t} - \lambda_{0}(\nabla u_{-})_{t}) \Big],$$

$$f_{3} = -(h_{1})_{v} \left(\frac{\partial u_{+}}{\partial v} - \frac{\partial u_{-}}{\partial v} \right) \Big|_{S_{1}},$$

$$f_{4} = (k_{1}^{2} - \lambda_{1}k_{2}^{2})(h_{1})_{v}u\Big|_{S_{1}} + Div_{S_{1}} \Big[(h_{1})_{v}((\nabla u_{+})_{t} - \lambda_{1}(\nabla u_{-})_{t}) \Big] - \frac{\Delta \tau_{1}}{\tau_{1}} \frac{\partial u_{+}}{\partial v} \Big|_{S_{1}}.$$

Remark A.4. By [9] again, $u \in H^2_{loc}(\Omega_0) \cap H^2(\Omega_1) \cap H^2(\Omega_2)$, so u' exists.

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